PTO/SB/21 (09:04)

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| TRANSMITTAL | |
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| FORM | |

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 09/506,079 Filing Date February 16, 2000 First Named Inventor Gail M. Clinton Art Unit 1643 **Examiner Name** Anne L. Holleran **Attorney Docket Number** 49321-16

| ENCLOSURES (check all that apply) | | | | | | | | |
|--------------------------------------------|----------------------------------------------------------------|----------------------------------------------------------------|--|--|--|--|--|--|
| Fee Transmittal Form | ☐ Drawing(s) | After Allowance Communication to TC | | | | | | |
| Fee Attached | Licensing-related Papers | Appeal Communication to Board of Appeals and Interferences | | | | | | |
| Amendment / Reply | Petition | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) | | | | | | |
| After Final | Petition to Convert to a Provisional Application | Proprietary Information | | | | | | |
| Affidavits/declaration(s) | Power of Attorney, Revocation Change of Correspondence Address | Status Letter | | | | | | |
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| Information Disclosure Statement | ☐ Landscape Table on CD | | | | | | | |
| Certified Copy of Priority Document(s) | Remarks | | | | | | | |
| Reply to Missing Parts/ | | | | | | | | |
| Incomplete Application | | | | | | | | |
| Reply to Missing Parts | | | | | | | | |
| under 37 CFR1.52 or 1.53 | | | | | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | | | | |
| Firm | Davis Wright Trepaine LLP | | | | | | | |
| Signature | Landh | | | | | | | |
| Printed Name | Barry L. Davison, Ph.D., J.D. | | | | | | | |
| Date | August 25, 2008 Reg. No. | 47,309 | | | | | | |
| CERTIFICATE OF TRANSMISSION/MAILING | | | | | | | | |

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|-----------------------|--------------------|------|-----------------|--|
| Typed or printed name | Judith E. Redmayne | Date | August 25, 2008 | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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August 25, 2008

Date

Judith E. Redmayne

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

: Gail M. Clinton et al.

Application No.

: 09/506,079

Filed

: February 16, 2000

For

: HER-2 BINDING ANTAGONISTS

Examiner

: Anne L. Holleran

Art Unit

: 1643

Docket No. : 49321-16

Date

: August 25, 2008

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, Applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO/SB/08. Copies of the cited references are enclosed. As to any reference supplied, Applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with Applicants' duty to disclose all information they are aware of which is believed relevant

to the examination of the above-identified application, Applicants believe that their invention is patentable.

Please acknowledge receipt of this Third Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application. No fee is due at this time in accordance with 37 C.F.R. § 1.97(b).

Respectfully submitted,

Gail M. Clinton et al.

DAVIS WBAGHT TREMAINE LLP

Βv

Barry L. Davison, Ph.D., J.D Registration No. 47,309

Enclosures:

Postcard Form PTO/SB/08 Cited References (270)

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